

#### **Drift-Wave Turbulence**

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# Outline

#### I. Drift Wave Mechanism

- Drift-Wave mechanism from density gradients
- Breaking the  $E_{||}=0$  constraint and resistive drift-waves
- Drift waves in the laboratory

#### II. Gradient Energy Released to Drift Waves and Critical Gradients

- Density gradients and temperature gradients as turbulence sources
- Conditions for transport and propagation of disturbances
- Drift-wave as coherent structures including vortices and streamer

#### III. Drift-Wave Eigenmodes in Toroidal Geometry

- Trapped Particle Instability and the TEM modes
- Ballooning modes and turbulence simulations
- Reversed shear and Er shear Ballooning modes and turbulence simulations

#### **IV. Turbulence Simulations**

- Inverse cascade and generation of sheared flows
- Ion acoustic wave coupling and dispersion from the polarization current
- Ballooning modes and turbulence simulations
- Role of Magnetic Islands

#### V. Transport Simulations for large Tokamaks with Turbulence



# Drift Wave Mechanism

- Drift-Wave mechanism from density gradients
- Drift waves in the laboratory
- > Breaking the  $E_{||}=0$  constraint with resistive drift-waves



## **Drift Wave Mechanism**



#### Experiments in Q-device, CLM, LAPD, TEXT





- Measured parallel wavelength  $\omega(k) < k_{\parallel} v_e$
- Frequency and growth rates agree with theory eg. Hendel et al, Phys. Fluids 11, 2426, 1968 [Q machine] Sen et al, PRL 66, 429,1991 [CLM]

TEXT with HIBP for  $E_r$ 

Horton et al, PoP 12, 2005 [LAPD] Perez et al. PoP 13, 03210, 2006 [LAPD] with velocity shear

In tokamak the high azimuthal mode numbers are unstable and have phase shifts Wave-particle interactions or resistivity make the waves grow exponentially  $\exp(\gamma_{\nu}t)$ 



#### Ion Scale Anomalous Transport

•  $\mathbf{E} \times \mathbf{B}$  flows from plasma potential  $\mathbf{E} = -\nabla \varphi$ 

$$\mathbf{v}_E = -\frac{\nabla \varphi \times \mathbf{B}}{B^2} = \frac{\mathbf{e}_z \times \nabla \varphi}{B}$$

• Stream function 
$$\psi(\mathbf{x}, t) = \frac{\varphi(\mathbf{x}, t)}{B}$$

Rotational motion quantified by vorticity

$$\boldsymbol{\omega} = \hat{\mathbf{b}} \cdot \boldsymbol{\nabla} \times \mathbf{v} = \frac{1}{B} \nabla_{\perp}^2 \boldsymbol{\varphi} = \nabla_{\perp}^2 \boldsymbol{\psi}.$$

•  $\frac{d\omega}{dt}$  is present in nonlinear dynamics of

- Kelvin-Helmholtz instability (KHI).
- Interchange modes.
- Drift waves: Hasegawa-Mima, Hasegawa-Wakatani, Ion Temperature Gradient (ITG), Electron Temperature Gradient (ETG).



Vorticity Probe direct, highresolution measurement of plasma vorticity [Perez, PoP (2006)]

#### Resistive Drift Waves with $E_{\parallel} \neq 0$ Driven Unstable by Density Gradients

Close current loops  $\nabla \cdot \boldsymbol{j} = 0$  gives for  $\boldsymbol{E} = -\nabla \phi$  modes

$$\left\{\frac{m_{i}n_{i}}{B^{2}}\left[(\omega - k_{y}v_{y})(k_{y}^{2} - \partial_{x}^{2}) + k_{y}\frac{d^{2}v_{y}}{dx^{2}}\right] + \frac{in_{e}e^{2}k_{\parallel}^{2}(x)\left(1 - \omega_{*e}/\omega\right)}{m_{e}\left[\nu_{e} + ik_{\parallel}^{2}v_{e}^{2}/\omega\right]}\right\}\phi = 0$$

Two Regimes



# Breaking the Frozen-in Magnetic Flux



Interchange modes

#### Vorticity Measurement on LAPD at UCLA





#### Vorticity Measurement on LAPD at UCLA





#### Soft X-Ray Tomography of Vortices in Gamma-10



- Vortices observed for the first time with fast time resolution
- E<sub>r</sub> control of vortices in images and in simulations

•Kishimoto-Horton-Tajima PIC full torus/cylinder PoP 1996



Two microchannel plates with CT reconstruction software. [T. Cho et al., Nucl Fusion (2005)]



#### Nonlinear Selffocusing in EXB

- Left column shows the linear evolution of the disturbance with spreading from wave dispersion.
- Right column shows the self focused large amplitude vortex structure.





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#### II. Gradient Energy Released to Drift Waves and Critical Gradients

- Density gradients and temperature gradients as turbulence sources
- Conditions for transport and propagation of disturbances
- Drift-wave as coherent structures including vortices and streamer



## Energy Released by Drift Wave



$$W_{\rm turb} < W = \int_{x_i}^{x_f} (P_1 - P_2) dx A$$

 $x_f$  is determined by

$$P_f = P_1 \left(\frac{x_i}{x_f}\right)^{\Gamma} = P_2 \left(\frac{a - x_i}{a - x_f}\right)^{\Gamma}$$

After some algebra,

$$W_{\text{turb}} < W_{max} = \frac{(\Delta P)^2}{\Gamma P} x_i (a - x_i) \le \frac{(\Delta P)^2}{4\Gamma P} a^2$$

$$W_{\text{turb}} = \frac{1}{2} \min \left\langle v_E^2 \right\rangle < W_{max} \rightarrow \left\langle v_E^2 \right\rangle \le \left(\frac{T_e}{eBL_n}\right)^2 = v_{de}^2$$



#### **Compression/Refraction in Convection**



#### **Anomalous Thermal Transport**

- Electron transport is a phenomenon with its own characteristics
  - Kadomtsev "Tokamak Plasma: A Complex Physical System" (1992) with large anomaly factor up to 100
- Ion thermal transport is typically anomalous with smaller anomaly over neoclassical transport across many confinement geometries
- Two different k,ω regimes of turbulence



#### Carnot Cycle gives Energy Released from Temperature Gradients



Critical gradient in fundamental limit without Landau damping  $\eta_{crit}$ =dln T/dln n =  $\Gamma$ -1 (=2/3 for  $\Gamma$  = 5/3)  $\Gamma$ = adiabatic gas constant.



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## Ion Temperature Gradient Model

Hamaguchi-Horton Model

$$\begin{split} \frac{\partial \delta n_i}{\partial t} &= -v_{de} \frac{\partial \delta \phi}{\partial y} - \nabla_{\parallel} \delta v_{\parallel} - \frac{c_s^2}{\Omega_i} \left[ \delta \phi, \delta n_i \right] \\ \frac{\partial}{\partial t} \left( \delta n_i - \rho_s^2 \nabla_{\perp}^2 \delta \phi \right) &= -v_{de} \frac{\partial}{\partial y} (1 - \tau (1 + \eta_i) \rho_s^2 \nabla_{\perp}^2) \delta \phi - \nabla_{\parallel} \delta v_{\parallel} \\ &- \frac{c_s^2}{\Omega_i} \left[ \delta \phi, (\delta n_i - \rho_s^2 \nabla_{\perp}^2 \delta \phi) \right] \\ \frac{\partial \delta v_{\parallel}}{\partial t} &= -\frac{1}{m_i n_0} \nabla_{\parallel} \delta p_i - c_s^2 \nabla_{\parallel} \delta \phi - \frac{c_s^2}{\Omega_i} \left[ \delta \phi, \delta v_{\parallel} \right] \\ \frac{\partial \delta p_i}{\partial t} &= -v_{de} \tau (1 + \eta_i) \frac{\partial \delta \phi}{\partial y} - \gamma p_{i0} \nabla_{\parallel} \delta v_i - \frac{c_s^2}{\Omega_i} \left[ \delta \phi, \delta p_i \right] \\ \end{split}$$
where  $\tau = T_i / T_e$  and  $v_{de} = (\rho_s / L_n) c_s$ . key driving term of  $dT_i / dx$ 

**Balescu, 2005,** Aspects of Anomalous Transport in 7 / 8 Plasmas, IoP pp.33-38.



# III. Drift-Wave Eigen-modes in Toroidal Geometry

- Ballooning modes from unfavorable magnetic curvature
- Trapped Particle Instability and the TEM mode
- Reversed magnetic shear and E<sub>r</sub> shear modes



#### Magnetic Curvature Driven Interchange

Pressure Gradient Interchange

$$egin{aligned} p' &\equiv -rac{p}{L_p} ext{ and } oldsymbol{E}_\perp &= -oldsymbol{v} imes oldsymbol{B} \ \gamma^2_{ ext{MHD}} &= -rac{p'}{
ho} rac{2}{R} \end{aligned}$$

blobs accelerate out

$$g_{\text{eff}} = 2c_s^2/R$$
  
 $\sim 2(3 \times 10^5 \text{m/s})^2/(3\text{m})$   
 $\sim 6 \times 10^{10} \text{ m/s}^2$   
over outside of torus  
 $g_{\text{eff}}$  sets limits on three scales:  
MHD  $p'$ ,  $\rho_{\text{i}}$ -ITG and  $\rho_{\text{e}}$ -ETG.

#### **Rayleigh-Taylor**

gravity g and

density gradient

$$\gamma_{\rm RT}^2 = -\frac{g}{\rho}\frac{dp}{dr} = -\frac{\boldsymbol{g}\cdot\nabla\rho}{\rho}$$





# $E_{\parallel} \neq 0$ Drift Waves Unstable from dn/dx

- □ Kadomtsev and Pogutse discvers Trapped Electron mode.
- □ Mirror trapped electrons in low-*B* region respond as though  $k_{\parallel} = 0$  forming a shielded interchange mode on the outside of torus.

$$\begin{aligned} & \frac{\tilde{n}_e}{n_e} &= \left[ 1 - f_{\rm tr} \left\langle \frac{\omega - \omega_{*e} (1 + \eta_e (\epsilon - 3/2))}{\omega - \omega_{De} + i\nu_{eff}/\epsilon^{3/2}} \right\rangle \right] \frac{e\phi}{T_e} \\ & \frac{\tilde{n}_i}{n_i} &= \left( \frac{\omega_{*e}}{\omega} - k_\perp^2 \rho_s^2 \right) \frac{e\phi}{T_e} \end{aligned}$$

where trapped fraction of electron  $f_{\rm tr}$ , ion inertial scale length  $\rho_s = (m_i T_e/eB)^{1/2} = (T_e/T_i)^{1/2} \rho_i [\sim {\rm cm}].$ 

Source for TEM Refs: Wesson, Tokamaks 3, Oxford, p. 430 -441.



# E&M ( $\mu$ -wave) Scattering off $\delta n_e(r,t)$

- Mazzucato et al ATC and TFTR
- Brower et al TEXT and DIIID
- ✓ Zhu, Hoang et al Tore Supra
- Many E&M scattering experiments demonstrated the drift wave turbulent spectra typical spectral k<sup>-3~4</sup> and anisotropic in k<sub>x</sub>-k<sub>y</sub> plane



Mazzucato and Nazikian, 1996



## **Spectral Exponents**

- Weakly anisotropic and the spectral exponents for model problems with localized source Y<sub>k</sub> of fluctuations
- At low k there is a transformation of fluctuations to zonal flows and streamers.
- Streamers have secondary instabilities.





## Three Wave Interactions in k-space

 Weak Turbulence Theory k<sub>y₁</sub> 3 of Drift Waves 3 Wave Resonance Manifold  $-\omega_{\underline{k}}-\omega_{\underline{k}_1}-\omega_{\underline{k}_1}=0$  $|\mathbf{k}_1| = |\mathbf{k}|$ 2 Local and non-local Nonlocal Zone Nonlocal Zone  $k_1 = (2k_x, 0)$ interactions in k |k<sub>1</sub>| << |k| Lead to creation of streamers and zonal -1 flows -2 Local Interactions -3 Each  $(k_x, k_y)$  gives a resonant curve in p=k<sub>1</sub> -3 -2 2 -1 0 1  $\Delta \Omega_{\mathbf{k},p} = \frac{p_{y}v_{d}}{1 + p_{x}^{2} + p_{y}^{2}} + \frac{(k_{y} - p_{y})v_{d}}{1 + (k_{x} - p_{y})^{2} + (k_{y} - p_{y})^{2}} - \frac{k_{y}v_{d}}{1 + (k_{x}^{2} + k_{y}^{2})^{2}}$ 



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k<sub>x1</sub>

#### Weak Turbulence Theory

Turbulence equations

$$\frac{dW_k}{dt} = 2\gamma_k W_k - \sum_{k_1} (k \times k_1)^2 R_{k_1,k-k_1} \left[ (k^2 - k_1^2) W_k W_{k_1} + \dots \right]$$

Benkadda, Doveil, Elskens, *Transport, Chaos* & *Plasmas*, 1996, ISBN 981-02-2696-9

 ✓ Wave-Kinetic Equations conserve energy and momentum of wave quanta N<sub>k</sub>

Action invariants and cascades.







#### Saturation Levels in TEM modes



#### Kinetic Wave-Particle Resonance in Torus

The Landau resonance for drift waves in the torus is for particles V<sub>⊥</sub> and V<sub>||</sub> such that

$$\omega = k_{\parallel} v_{\parallel} + v_D \left(\frac{1}{2}v_{\perp}^2 + v_{\parallel}^2\right)$$





# Nyquist Plot of D(k, $\omega$ ) gives Critical $\Delta T$





Details of stability analysis by Romanelli Phys. Fluids B (1989), Hong *et al* Phys. Fluids B (1989), Nordman & Weiland Nucl. Fusion (1989, 1990) and Kim *et al* Phys Plasmas (1994)

#### **Typical Growth rates in TEM-ITG Modes**



- Rewoldt-Tang (1990 Phys Fluids B) general toroidal eigenmode code with basis functions from sum of local sheared eigenmodes
  - > complex harmonic oscillators on rational surfaces .



## **IV.Turbulence Simulations**

Inverse cascade and generation of sheared flows

Ion acoustic wave coupling and dispersion from the polarization current

Ballooning modes and turbulence simulations



# Ion Temperature Gradient in Tokamak



**T.-H. Watanabe and H. Sugama** AIP Proceedings & NF 2006 p.24



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ITG turbulence electrostatic potential perturbations (blue > 0, red < 0)

- Radially elongated structures are quickly destroyed by zonal flows
- In the statistical steady-state turbulence and zonal flows co-exist
- High resolution in wave-number space due to a large number of toroidal modes (n=1 to 84)

Cyclone DIII-D Parameters:  $\eta_i$ = 3.1  $r_0/R_0$  = 0.18,  $q_0$  = 1.4, s = 0.8,  $R_0/L_T$  = 6.92, $v_iL_n/v_t$ =0.001 Parker, Lee 1993, Waltz-Krebel

1994, LeBrun et al 1993

#### Examples of Sheared Flow Transport Suppression



#### Interaction Between Magnetic Islands and Electrostatic Turbulence – New Problem & Issue

Science Question: How does the turbulent transport proceed in high beta advanced tokamaks where there are significant magnetic islands on f

- 1) Physical model: generalize the Hasegawa-Wakatani drift wave model for plasma with rotating islands.
- 2) What happens to the turbulence in the island and the transport across the island?
- Separation of the time scales allows an electrostatic approach with fixed magnetic islands.

Militello and Waelbroeck





# Comparison of Drift Turbulence with and without a Magnetic Island

Militello and Waelbroeck

#### **Sheared Magnetic Field**

**Magnetic Island** 





## V. Transport Simulations for large Tokamaks with Turbulence

## Simulation examples for TFTR JT 60U and Tore Supra



#### TFTR Super-shot and Koide et al JT60-U



# Energy Release from Carnot Cycles

 Temperature Gradient driven turbulence

Model of Internal Transport Barrier for JT-60U Shot E27969





#### Conclusions, Challenges and Opportunities

#### Electron and Ion Turbulent Transport

- Physics of thresholds for Turbulence- still nonlinear issues
- Carnot cycle for Critical Temperature Gradient realtime tool?
- Nonlinear states with coherent structures [CS]: vortices, streamers and zonal flows in the sea of turbulence. -Challenge for future.
- Classical Models for density gradient driven drift waves.
- Classical Models for Ion Temperature Gradient (ITG) driven drift waves. The Standard Model of ITG-TEM transport. -Integration?
- Experimental observations of drift waves. High and low k issues.
- Gyrofluid simulations show direct and inverse cascades and CS.
- Ion scale turbulence explains Internal Transport Barriers and the empirical confinement scaling laws for hot ion plasmas.
- > Many challenges and opportunities for new researchers!



## The End La Fin

#### ETG turbulence on Friday Morning



#### Interchange Modes and Shear Alfven Waves

 $\hfill\square$  MHD interchange mode

$$\omega(\omega - \omega_{*i}) + \frac{2k_y^2}{k_\perp^2} \frac{p}{\rho R L_p} = 0 \quad \rightarrow \quad \gamma_{\rm MHD}^2 = \frac{2p}{\rho R L_p}$$

 $\Box \quad \text{Alfven Wave, with } k_{\perp}^2 \rho_s^2, k_{\perp}^2 \delta^2 \ll 1, \text{ then, } A_{\parallel} = k_{\parallel} \phi / \omega \to E_{\parallel} = 0,$ 

$$\omega(\omega - \omega_{*i}) - \frac{k_z^2 B^2}{\rho \mu_0} = 0.$$



## **Pressure Limits for Tokamaks**

#### $\beta$ Limit of Tokamaks<sup>a</sup>

- $\beta$  Limit in first stability region of ballooning mode
  - Large aspect-ratio approximation':  $\beta_t \leq 0.3 \frac{\epsilon}{q_0 q_a} \left(1 - \frac{q_0}{q_a}\right)$  [Freidberg 1987] - Sykes limit:  $\beta_t \leq 0.044 \left(\frac{I_0}{aB_0}\right)$  or  $\beta_t \leq 0.22 \left(\frac{\epsilon\kappa}{q_*}\right)$  [Sykes *et al.*, 1983] where units are  $I_0(MA)$ , a(m),  $B_0(T)$ , and  $\epsilon = a/R_0$ ,  $q_* = 2B_0 A/\mu_0 R_0 I_0$ , A is the cross-sectional area, and  $\kappa = A/\pi a^2$  is the elongation.

#### • $\beta$ limit due to low-n external ballooning-kink mode

- Troyon limit:  

$$\beta_t \leq 0.028 \left(\frac{I_0}{aB_0}\right) \text{ or } \beta_t \leq 0.14 \left(\frac{\epsilon\kappa}{q_*}\right) \text{ [Troyon et al., 1984]}$$

• No  $\beta$  limit in second stability region of ballooning mode.

<sup>a</sup>Freidberg, *Ideal Magnetohydrodynamics*, 1987



#### V. Temperature Gradient Driven Drift Waves

Properties of the ITG drift-wave instability: Comments on ETG

Wave-particle power transfer and the Nyquist diagram

Scaling laws of the ion temperature gradient turbulent transport

